

Please Direct All Correspondence to Customer Number **30313**

RESPONSE TRANSMITTAL

Applicant	: Goddard, et al.
App. No	: 10/063,617
Filed	: May 3, 2002
For	: SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME
Examiner	: Romeo, David S.
Art Unit	: 1647

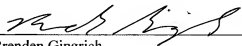
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing in the above-identified application are the following enclosures:

- (X) Request to Correct Inventorship pursuant to CFR § 1.48 and accompanying fee.
- (X) Statement Confirming no Deceptive Intent.
- (X) Copy of the Original Inventors Declaration.
- (X) Statement From Assignee.
- (X) Statement Under 37 CFR § 3.73(b).
- (X) Copy of the Original Assignment.

Please charge any fees, including any fees for additional extension of time, or credit overpayment to Deposit Account No. 11-1410.


Brenden Gingrich
Registration No. 60,295
Attorney of Record
Customer No. 30,313
(619) 235-8550

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant	:	Goddard et al.
Appl. No.	:	10/063,617
Filed	:	May 3, 2002
For:	:	SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME
Examiner	:	Romeo, David S.
Art Unit	:	1647

REQUEST TO CORRECT INVENTORSHIP**Pursuant to 37 C.F.R. §1.48**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Applicants hereby request, pursuant to 37 C.F.R. §1.48, to have Colin K. Watanabe reinstated as a named inventor in the above-identified patent application. Colin K. Watanabe is a citizen of the United States of America, residing in Moraga, California, and signed the original declaration submitted in this patent application at the time of filing. Applicants submit that the deletion of Colin K. Watanabe as an inventor was an error that occurred without deceptive intent.

The inventors of U.S. Patent Application No. 10/063,617 should be listed as follows:

Audrey Goddard
Paul J. Godowski
J. Christopher Grimaldi
Austin L. Gurney
Colin K. Watanabe
William I. Wood

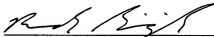
Appl. No.: 10/063,617
Filed: May 3, 2002

Included herewith are a Statement Confirming No Deceptive Intent on the part of the reinstated inventor pursuant to 37 C.F.R. § 1.48(2), a copy of the original inventor's declaration pursuant to 37 C.F.R. § 1.48(3), written consent from the Assignee pursuant to 37 C.F.R. § 1.48(5), a Statement Under 37 § CFR 3.73(b), a copy of the original Assignment executed by the added inventor, and the fee set forth in 37 C.F.R. § 1.17(i).

Please charge any additional fees, including any fees for additional extension of time, or credit overpayment to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 1/16/08 By: 
Brenden Gingrich
Registration No. 60,295
Attorney of Record
Customer No. 30,313
(619) 235-8550

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Goddard, et al.
Appl. No. : 10/063,617
Filed : May 3, 2002
For : SECRETED AND TRANSMEMBRANE
POLYPEPTIDES AND NUCLEIC ACIDS
ENCODING THE SAME
Examiner : Romeo, David S.
Group Art Unit : 1647

STATEMENT CONFIRMING NO DECEPTIVE INTENT

Pursuant to 37 C.F.R. §1.48

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

The inventorship error of deleting Colin K. Watanabe as an inventor in the above-referenced patent application occurred without any deceptive intent on the part of Colin K. Watanabe.

Signed this 18 day of Jan, 2008 by Colin

Colin K. Watanabe

COPY

COMBINED DECLARATION FOR PATENT APPLICATION
AND POWER OF ATTORNEY

Docket No. P3230R1C1

COPY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME

the specification of which (check one) ☒ is attached hereto or ☐ was filed on as Application Serial No. and was amended on (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate have a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s):

Priority Claimed
Yes ☐ No ☐

Number	Country	Day/Month/Year Filed
--------	---------	----------------------

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional applications(s) listed below:

Please see attached Appendix A (Listing 87 U.S. Provisional Patent Applications)

Application Ser. No.	Filing Date
----------------------	-------------

I hereby claim the benefit under Title 35, United States Code, §120 of any United States applications(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Please see attached Appendix B (Listing 20 U.S. applications and 27 PCT applications)

Application Ser. No.	Filing Date	Status: Patented, Pending, Abandoned
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Application Ser. No.	Filing Date	Status: Patented, Pending, Abandoned
----------------------	-------------	--------------------------------------

POWER OF ATTORNEY: As a named inventor, I hereby appoint all Attorney(s) and/or Agent(s) associated with the following Patent Office Issued Customer Number to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.



09157

PATENT TRADEMARK OFFICE

Paul E. Rauch, Registration No. 38,591, CUSTOMER NUMBER: 28,442

COPY

Send correspondence to:

Attn: Paul E. Rauch
Brinks, Hofer, Gilson & Lione
NBC Tower - Suite 3600
455 N. Cityfront Plaza Drive
Chicago, IL 60611-5599
Telephone: 312-321-4780
Facsimile: 312-321-4299
Email: prauch@brinkshofer.com

I hereby declare that all statements made herein of my own knowledge and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that willful false statements may jeopardize the validity of the application or any patent issued thereon.

The undersigned hereby authorizes the U.S. attorney or agent named herein to accept and follow instructions from his foreign patent agent as to any action to be taken in the Patent and Trademark Office regarding this application without direct communication between the U.S. attorney or agent and the undersigned. In the event of a change in the persons from whom instructions may be taken, the U.S. attorney or agent named herein will be so notified by the undersigned.

Full name of sole or first inventor

Dan L. Eaton *Dan Eaton* 10/2/01
Inventor's signature Date

Residence 45 Broadway Dr.,
70 Knight Drive, San Rafael, CA 94901

Citizenship
US

Post Office Address
1 DNA Way
South San Francisco, CA 94080

Full name of second joint inventor, if any

Ellen Filvaroff
Inventor's signature 10/1/01
Date

Residence
538 16th Avenue, San Francisco, CA 94121

Citizenship
US

Post Office Address
1 DNA Way
South San Francisco, CA 94080

Full name of third joint inventor, if any

Mary E. Gerritsen
Inventor's signature Date

Residence
541 Parrott Drive, San Mateo, CA 94402

Citizenship
CA

Post Office Address
1 DNA Way
South San Francisco, CA 94080

Send correspondence to:

Attn: Paul E. Rauch
Brinks, Hofer, Gilson & Lione
NBC Tower - Suite 3600
455 N. Cityfront Plaza Drive
Chicago, IL 60611-5599
Telephone: 312-321-4780
Facsimile: 312-321-4299
Email: prauch@brinkshofer.com

I hereby declare that all statements made herein of my own knowledge and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that willful false statements may jeopardize the validity of the application or any patent issued thereon.

The undersigned hereby authorizes the U.S. attorney or agent named herein to accept and follow instructions from his foreign patent agent as to any action to be taken in the Patent and Trademark Office regarding this application without direct communication between the U.S. attorney or agent and the undersigned. In the event of a change in the persons from whom instructions may be taken, the U.S. attorney or agent named herein will be so notified by the undersigned.

Full name of sole or first inventor

Dan L. Eaton

Inventor's signature

Date

Residence

75 Knight Drive, San Rafael, CA 94901

Citizenship

US

Post Office Address

1 DNA Way
South San Francisco, CA 94080

Full name of second joint inventor, if any

Ellen Filvaroff

Inventor's signature

Date

Residence

538 18th Avenue, San Francisco, CA 94121

Citizenship

US

Post Office Address

1 DNA Way
South San Francisco, CA 94080

Full name of third joint inventor, if any

Mary E. Geritsen

Inventor's signature

Date

Residence

541 Parrott Drive, San Mateo, CA 94402

Citizenship

CA

Post Office Address

1 DNA Way
South San Francisco, CA 94080

COPY

Page 3

Full name of fourth joint inventor, if any

Audrey Goddard

Inventor's signature



Date

1 OCT. 2001

Residence

110 Congo Street, San Francisco, CA 94131

Citizenship

CA

Post Office Address

1 DNA Way

South San Francisco, CA 94080

Full name of fifth joint inventor, if any

Paul J. Godowski

Inventor's signature



Date

10/1/01

Residence

25 Orange Court Hillsborough, CA 94010

Citizenship

US

Post Office Address

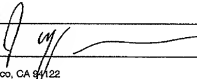
1 DNA Way

South San Francisco, CA 94080

Full name of sixth joint inventor, if any

J. Christopher Grimaldi

Inventor's signature



Date

10/2/01

Residence

1434 36th Avenue, San Francisco, CA 94122

Citizenship

US

Post Office Address

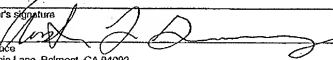
1 DNA Way

South San Francisco, CA 94080

Full name of seventh joint inventor, if any

Austin L. Gurney

Inventor's signature



Date

10/1/01

Residence

1 Debbie Lane, Belmont, CA 94002

Citizenship

US

Post Office Address

1 DNA Way

South San Francisco, CA 94080

COPY

Page 4

Full name of eighth joint inventor, if any

Colin K. Watanabe

Inventor's signature

Colin K. Watanabe

Date

10-2-2001

Residence

128 Corliss Drive, Moraga, CA 94556

Citizenship

US

Post Office Address

1 DNA Way
South San Francisco, CA 94080

Full name of ninth joint inventor, if any

William I. Wood

Inventor's signature

William I. Wood

Date

10/2/01

Residence

35 Southdown Court, Hillsborough, CA 94010

Citizenship

US

Post Office Address

1 DNA Way
South San Francisco, CA 94080

APPENDIX A

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional applications listed below:

1. 60/063435 Filed 10/29/97
2. 60/064215 Filed 10/29/97
3. 60/082797 Filed 4/22/98
4. 60/083495 Filed 4/29/98
5. 60/085579 Filed 5/15/98
6. 60/087759 Filed 6/2/98
7. 60/088021 Filed 6/4/98
8. 60/088029 Filed 6/4/98
9. 60/088030 Filed 6/4/98
10. 60/088734 Filed 6/10/98
11. 60/088740 Filed 6/10/98
12. 60/088811 Filed 6/10/98
13. 60/088824 Filed 6/10/98
14. 60/088825 Filed 6/10/98
15. 60/088863 Filed 6/11/98
16. 60/089105 Filed 6/12/98
17. 60/089514 Filed 6/16/98
18. 60/089653 Filed 6/17/98
19. 60/089952 Filed 6/19/98
20. 60/090246 Filed 6/22/98
21. 60/090444 Filed 6/24/98
22. 60/090688 Filed 6/25/98
23. 60/090696 Filed 6/25/98
24. 60/090862 Filed 6/26/98
25. 60/091628 Filed 7/2/98
26. 60/096012 Filed 8/10/98
27. 60/096757 Filed 8/17/98
28. 60/096949 Filed 8/18/98
29. 60/096959 Filed 8/18/98
30. 60/097954 Filed 8/26/98
31. 60/097971 Filed 8/26/98
32. 60/097979 Filed 8/26/98
33. 60/098749 Filed 9/1/98
34. 60/099741 Filed 9/10/98
35. 60/099763 Filed 9/10/98
36. 60/099792 Filed 9/10/98
37. 60/099812 Filed 9/10/98
38. 60/099815 Filed 9/10/98
39. 60/100627 Filed 9/16/98
40. 60/100662 Filed 9/16/98
41. 60/100683 Filed 9/17/98
42. 60/100684 Filed 9/17/98
43. 60/100930 Filed 9/17/98
44. 60/101279 Filed 9/22/98
45. 60/101475 Filed 9/23/98

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46. 60/101738 Filed 9/24/98
47. 60/101743 Filed 9/24/98
48. 60/101916 Filed 9/24/98
49. 60/102570 Filed 9/30/98
50. 60/103449 Filed 10/6/98
51. 60/103678 Filed 10/8/98
52. 60/103679 Filed 10/8/98
53. 60/103711 Filed 10/8/98
54. 60/105000 Filed 10/20/98
55. 60/105002 Filed 10/20/98
56. 60/105881 Filed 10/27/98
57. 60/106030 Filed 10/28/98
58. 60/106464 Filed 10/30/98
59. 60/106856 Filed 11/3/98
60. 60/108807 Filed 11/17/98
61. 60/112419 Filed 12/15/98
62. 60/112422 Filed 12/15/98
63. 60/112853 Filed 12/16/98
64. 60/113011 Filed 12/16/98
65. 60/112854 Filed 12/16/98
66. 60/113300 Filed 12/22/98
67. 60/113408 Filed 12/22/98
68. 60/113430 Filed 12/23/98
69. 60/113621 Filed 12/23/98
70. 60/114223 Filed 12/30/98
71. 60/115614 Filed 1/12/99
72. 60/116527 Filed 1/20/99
73. 60/116843 Filed 1/22/99
74. 60/119285 Filed 2/9/99
75. 60/119287 Filed 2/9/99
76. 60/119525 Filed 2/10/99
77. 60/119549 Filed 2/10/99
78. 60/120014 Filed 2/11/99
79. 60/129122 Filed 4/13/99
80. 60/129674 Filed 4/16/99
81. 60/131291 Filed 4/27/99
82. 60/138387 Filed 6/9/99
83. 60/144791 Filed 7/20/99
84. 60/169495 Filed 12/7/99
85. 60/175481 Filed 1/11/00
86. 60/191007 Filed 3/21/00
87. 60/199397 Filed 4/25/00

COPY

APPENDIX B

I hereby claim the benefit under Title 35, United States Code, §120 of any United States applications listed below:

US APPLICATIONS

1. 09/380139 Filed 8/25/98
2. 09/311832 Filed 5/14/99
3. 09/380137 Filed 8/25/99 ABD
4. 09/380138 Filed 8/25/99 ABD
5. 09/380142 Filed 8/25/99 ABD
6. 09/397342 Filed 9/15/99
7. 09/403297 Filed 10/18/99 ABD
8. 09/423844 Filed 11/12/99 ABD
9. 09/644848 Filed 8/22/00
10. 09/665350 Filed 9/18/00
11. 09/664610 Filed 9/18/00 ABD
12. 09/709238 Filed 11/8/00
13. 09/747259 Filed 12/20/00
14. 09/816744 Filed 3/22/01
15. 09/854208 Filed 5/10/01
16. 09/854280 Filed 5/10/01
17. 09/870574 Filed 5/30/01
18. 09/874503 Filed 6/5/01
19. 09/869599 Filed 6/29/01
20. 09/908,827 Filed 7/18/01

PCT APPLICATIONS

1. PCT/US98/19330 Filed 9/16/98
2. PCT/US99/05028 Filed 3/8/99
3. PCT/US99/10733 Filed 5/14/99
4. PCT/US99/12252 Filed 6/2/99
5. PCT/US99/20111 Filed 9/1/99
6. PCT/US99/21090 Filed 9/15/99
7. PCT/US99/21194 Filed 9/15/99
8. PCT/US99/30720 Filed 12/22/99
9. PCT/US00/04341 Filed 2/18/00
10. PCT/US00/04342 Filed 2/18/00
11. PCT/US00/04414 Filed 2/22/00
12. PCT/US00/05601 Filed 3/1/00
13. PCT/US00/08439 Filed 3/30/00
14. PCT/US00/14042 Filed 5/22/00
15. PCT/US00/15264 Filed 6/2/00
16. PCT/US00/23522 Filed 8/23/00
17. PCT/US00/23328 Filed 8/24/00
18. PCT/US00/30873 Filed 11/10/00
19. PCT/US00/32378 Filed 12/1/00
20. PCT/US00/34956 Filed 12/20/00
21. PCT/US01/06520 Filed 2/28/01

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22.	PCT/US01/06666	Filed 3/1/01
23.	PCT/US01/17443	Filed 5/30/01
24.	PCT/US01/17800	Filed 6/1/01
25.	PCT/US01/19692	Filed 6/20/01
26.	PCT/US01/21066	Filed 6/29/01
27.	PCT/US01/21735	Filed 7/9/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Goddard, et al.
Appl. No. : 10/063,617
Filed : May 3, 2002
For : SECRETED AND TRANSMEMBRANE
POLYPEPTIDES AND NUCLEIC ACIDS
ENCODING THE SAME
Examiner : Romeo, David S.
Group Art Unit : 1647

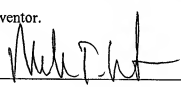
STATEMENT FROM ASSIGNEE

Pursuant to 37 C.F.R. §1.48

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

I hereby assert that I am authorized to act on behalf of the assignee, Genentech, Inc., 1 DNA Way, South San Francisco, California, 94080, the owner of the entire right, title and interest in the above-reference patent application, (see attached Statement under 37 C.F.R. § 3.73(b)). I further hereby assert that the assignee agrees to the inventorship change in the application to reinstate Colin K. Watanabe as a named inventor.

Signed this 8 day of January, 2008 by Name: Mark T. Kresnak, Ph.D.

Title: Associate General Counsel, Director
Genentech, Inc. Authorized Corporate Signatory
GENENTECH, Inc.
1 DNA Way
South San Francisco, CA 94080

STATEMENT UNDER 37 CFR § 3.73(b)
ESTABLISHMENT OF ASSIGNEE

Applicant : Goddard et al.
App. No. : 10/063,617
Filed : May 3, 2002
For : SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC
ACIDS ENCODING THE SAME
Examiner : Romeo, David S.
Group Art Unit : 1647

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

This document is being filed with a copy of a "Revocation and Power of Attorney" signed by the Assignee and sets forth the chain of title of the above-identified application.

Please recognize or change the correspondence address for the above-identified application to **Customer No. 30,313**.

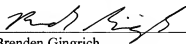
Genentech, Inc., a Delaware Corporation, is the Assignee of the entire right, title, and interest of the above-referenced application by virtue of:

The Assignment from the inventor(s) to the Assignee recorded in the United States Patent and Trademark Office on 12/06/2001, at Reel 012377, and Frame 0266.

The undersigned is an agent of Customer Number 30,313 and is authorized to act on behalf of the assignee as provided in the attached copy of the "Revocation and Power of Attorney." All correspondence is to be directed to **Customer No. 30,313**.

Respectfully submitted,
KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 1/16/08

By: 
Brenden Gingrich
Registration No. 60,295
Attorney of Record
Customer No. 30,313
(619) 235-8550



COPY

RECEIVED
JUL 18 2002

TECHNICAL CENTER 1600/2300

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Eaton et al.
Appl. No. : Listed Below
Filed : Listed Below
For : SECRETED AND
TRANSMEMBRANE
POLYPEPTIDES AND
NUCLEIC ACIDS ENCODING
THE SAME
Examiner : UNKNOWN

Group Art Unit Unknown
I hereby certify that this correspondence and all
marked attachments are being deposited with the
United States Postal Service as first-class mail in
an envelope addressed to: United States Patent
and Trademark Office, P.O. Box 2327,
Arlington, VA 22202, on

July 2, 2002
(Date)

Adel S. Akhtar, Reg. No. 41,394

REVOCATION AND POWER OF ATTORNEY AND CHANGE OF ADDRESS

United States Patent and Trademark Office
P.O. Box 2327
Arlington, VA 22202

Dear Sir:

The undersigned is empowered to act on behalf of the assignee below (the "Assignee"). The original assignment is recorded at Reel 012377 and Frame 0266 and a new assignment is recorded at Reel 012643 and Frame 0431. This Assignment represents the entire chain of title of this invention from the Inventor(s) to the Assignee.

I declare that all statements made herein are true, and that all statements made upon information and belief are believed to be true, and further, that these statements were made with the knowledge that willful, false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. § 1001, and that willful, false statements may jeopardize the validity of the application, or any patent issuing thereon.

The undersigned hereby revokes any previous powers of attorney in the subject application, and hereby appoints Elizabeth M. Barnes, Reg. No. 35,059 and other registrants of Customer No. 09157 as its attorneys and the registrants of Knobbe, Martens, Olson & Bear, LLP, 620 Newport Center Drive, Sixteenth Floor, Newport Beach, California 92660, Telephone

Appl. No. : Listed Below
Filed : Listed Below

(619) 235-8550, Customer No. 30, 313, as its associate attorneys with full power of substitution and revocation to prosecute this application and to transact all business in the U.S. Patent and Trademark Office connected herewith. This appointment is to be to the exclusion of the inventor(s) and his attorney(s) in accordance with the provisions of 37 C.F.R. § 3.71.

Please correct the address for all future communications relative to the below referenced pending patent application to the following address:

AnneMarie Kaiser
Knobbe Martens Olson & Bear
620 Newport Center Drive
Sixteenth Floor
Newport Beach, CA 92660
Telephone: 619-235-8550

Appln. No.	Appln. Date	Docket No.
10/006867	Dec 06 2001	P3230R1C001
10/063502	Apr 30 2002	P3230R1C002
10/063510	May 01 2002	P3230R1C003
10/063512	May 01 2002	P3230R1C004
10/063513	May 01 2002	P3230R1C005
10/063514	May 01 2002	P3230R1C006
10/063515	May 01 2002	P3230R1C007
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10/063534	May 02 2002	P3230R1C023
10/063536	May 02 2002	P3230R1C024
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10/063538	May 02 2002	P3230R1C026
10/063540	May 02 2002	P3230R1C027
10/063541	May 02 2002	P3230R1C028
10/063544	May 02 2002	P3230R1C029

Appl. No. : Listed Below
 Filed : Listed Below

Appln. No.	Appln. Date	Docket No.
10/063545	May 02 2002	P3230R1C030
10/063546	May 02 2002	P3230R1C031
10/063547	May 02 2002	P3230R1C032
10/063548	May 02 2002	P3230R1C033
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<u>Appl. No.</u>	<u>Appl. Date</u>	<u>Docket No.</u>
10/063607	May 03 2002	P3230R1C077
10/063609	May 03 2002	P3230R1C078
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<u>Appl. No.</u>	<u>Appl. Date</u>	<u>Docket No.</u>
10/063688	May 08 2002	P3230R1C124
10/063689	May 08 2002	P3230R1C125
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10/063745	May 09 2002	P3230R1C168

Appl. No. : Listed Below
Filed : Listed Below

Please use Customer No. 30, 313 for all communications.

Respectfully submitted,

GENENTECH, INC.

Dated: 6-25-02

By: 

Mark Kresnak

Registration No. 42,767

Title: Section Patent Counsel

Address: 1 DNA Way
South San Francisco, CA 94080

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FEBRUARY 08, 2002

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GENENTECH, INC.
ELIZABETH M. BARNES, PH.D.
1 DNA WAY
SOUTH SAN FRANCISCO, CA 94080

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FEB 19 2002

101922805A

GENENTECH, INC.
UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 12/06/2001

REEL/FRAME: 012377/0266
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

EATON, DAN L.

DOC DATE: 10/02/2001

ASSIGNOR:

FILVAROFF, ELLEN

DOC DATE: 10/01/2001

ASSIGNOR:

GERRITSEN, MARY E.

DOC DATE: 10/15/2001

ASSIGNOR:

GODDARD, AUDREY

DOC DATE: 10/01/2001

ASSIGNOR:

GODOWSKI, PAUL J.

DOC DATE: 10/01/2001

ASSIGNOR:

GRIMALDI, J. CHRISTOPHER

DOC DATE: 10/02/2001

ASSIGNOR:

GURNEY, AUSTIN L.

DOC DATE: 10/01/2001

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012377/0266 PAGE 2

ASSIGNOR:

WATANABE, COLIN K.

DOC DATE: 10/02/2001

ASSIGNOR:

WOOD, WILLIAM I.

DOC DATE: 10/03/2001

ASSIGNEE:

GENENTECH, INC.

1 DNA WAY

SOUTH SAN FRANCISCO, CALIFORNIA

94080-4990

SERIAL NUMBER: 10006867

FILING DATE: 12/06/2001

PATENT NUMBER:

ISSUE DATE:

KIMBERLY WHITE, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

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12-21-2001



Assistant Commissioner

101922805

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office
Atty Dkt No: P3230R1C1

ants or copy thereof.

1. Name of conveying party(ies):

Dan L. Eaton; Ellen Filvaroff; Mary E. Gerritsen;
Audrey Goddard, Paul J. Godowski; J. Christopher
Grimaldi; Austin L. Gurney; Colin K. Watanabe;
William L Wood

Additional name(s) of conveying party(ies) attached? ☒ Yes ☐ No

2. Name and address of receiving party(ies):

Name: GENENTECH, INC.

Internal Address:

Street Address: 1 DNA Way

City: South San Francisco State: California

ZIP: 94080-4990

Additional name(s) & address(es) attached? ☒ Yes ☐ No

3. Nature of conveyance:

☒ Assignment

Execution Date: 10/02/01; 10/01/01; 10/15/01; 10/01/01;

10/01/01; 10/02/01; 10/01/01; 10/02/01 & 10/03/01,

respectively.

4. Application number(s) or patent number(s):

(If this document is being filed together with a new application, the execution date of the application is: October 15, 2001

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☒ Yes ☐ No

10/006867

5. Name and address of party to whom correspondence concerning document should be mailed:

Elizabeth M. Barnes, Ph.D.
GENENTECH, INC.
1 DNA Way
South San Francisco, CA 94080

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 40.00

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number:
07-0630

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Elizabeth M. Barnes, Ph.D.

Name of Person Signing

Registration No. 35,059

Elizabeth M. Barnes
Signature

December 6, 2001

Date

FD/2001 BRYBE 00000017 070630 10006867

Total number of pages comprising cover sheet, attachments and document: 4

FE:581 40.00 CH

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Docket No. P3230R1C1

ASSIGNMENT

WHEREAS, Dan L. Eaton, a citizen of US, residing at 75 Knight Drive, San Rafael, CA 94901, and Ellen Filvaroff, a citizen of US, residing at 538 18th Avenue, San Francisco, CA 94121, and Mary E. Gerritsen, a citizen of CA, residing at 541 Parrott Drive, San Mateo, CA 94402, and Audrey Goddard, a citizen of CA, residing at 110 Congo Street, San Francisco, CA 94131, and Paul J. Godowski, a citizen of US, residing at 25 Orange Court, Hillsborough, CA 94010, and J. Christopher Grimaldi, a citizen of US, residing at 1434 36th Ave., San Francisco, CA 94122, and Austin L. Gurney, a citizen of US, residing at 1 Debbie Lane, Belmont, CA 94002, and Colin K. Watanabe, a citizen of US, residing at 128 Corliss Drive, Moraga, CA 94556, and William I. Wood, a citizen of US residing at 35 Southdown Court, Hillsborough, CA 94010 (hereinafter "ASSIGNORS"), have invented a new and useful invention in

**SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND
NUCLEIC ACIDS ENCODING THE SAME**

for which an application is being filed herewith (Docket No. P3230R1C1); and

WHEREAS, GENENTECH, INC., a corporation organized and existing under and by virtue of the laws of the State of Delaware, having a place of business at 1 DNA Way, South San Francisco, California 94080-4990, is desirous of acquiring an interest in and to said invention, and in and to the Letters Patents to be obtained therefor;

NOW, THEREFORE, be it known by all whom it may concern;

That for good and valuable consideration the receipt of which is hereby acknowledged, the said ASSIGNORS have and do hereby sell, assign, transfer and set over unto the said GENENTECH, INC., its successors and assigns, the full and exclusive right, title and interest including all rights under the Paris Convention for the Protection of Industrial Property, in and to said invention, and in and to any and all Letters Patents to be granted and issued therefor or any continuation, division, renewal, or substitute thereof, and as to Letters Patents any reissue or re-examination thereof, not only for, to, and in the United States of America, its territories and possessions, but for, to and in all other countries; and it has been and is hereby authorized and requested that the appropriate government agencies issue said Letters Patents to said GENENTECH, INC., in accordance with this Assignment.

Said ASSIGNORS covenant and agree to cooperate with GENENTECH, INC., to enable said GENENTECH, INC. to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and foreign countries. Such cooperation by said ASSIGNORS includes prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said GENENTECH, INC., (a) for perfecting the right, title and interest herein conveyed; (b) for prosecuting any of said applications; (c) for filing and prosecuting applications for reissuance of any of said patents; (d) for interference or other priority proceedings involving said invention; and (e) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said ASSIGNORS in providing such cooperation shall be paid for by said GENENTECH, INC.

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Page 2

The terms and covenants of this assignment shall inure to the benefit of said GENENTECH, INC., its successors, assigns and other legal representatives, and shall be binding upon said ASSIGNORS, their respective heirs, legal representatives and assigns.

Said ASSIGNORS hereby warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF we undersign as follows;

South San Francisco

Dated: 10/2/01


Dan L. Eaton

South San Francisco

Dated: 10/1/01


Ellen Filvaroff

South San Francisco

Dated: _____

Mary E. Gerritsen

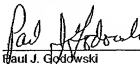
South San Francisco

Dated: 10/1/01


Audrey Goddard


South San Francisco

Dated: 10/1/01


Paul J. Goddowski

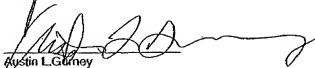
South San Francisco

Dated: 10/2/01


J. Christopher Grimaldi

South San Francisco

Dated: 10/1/01


Austin L. Gurney


South San Francisco

Dated: 10-2-2001


Colin K. Watanabe

South San Francisco

Dated: 10/3/01


William I. Wood

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Page 2

The terms and covenants of this assignment shall inure to the benefit of said GENENTECH, INC., its successors, assigns and other legal representatives, and shall be binding upon said ASSIGNORS, their respective heirs, legal representatives and assigns.

Said ASSIGNORS hereby warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF we undersign as follows;

South San Francisco

Dan L. Eaton

Dated: _____

South San Francisco

Ellen Filvaroff

Dated: _____

South San Francisco

Mary E. Gerritsen

Dated: Oct 15, 2001

South San Francisco

Audrey Goddard

Dated: _____

South San Francisco

Paul J. Godowski

Dated: _____

South San Francisco

J. Christopher Grimaldi

Dated: _____

South San Francisco

Austin L. Gurney

Dated: _____

South San Francisco

Colin K. Watanabe

Dated: _____

South San Francisco

William I. Wood

Dated: _____